Comma Categories and Filtered Categories

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This article is an effort to generalize the corresponding parts in Kashiwara-Schapira's book *Categories and Sheaves*, in which they consider the case of 1-categories. Besides that, the main reference is Lurie's *Higher Topos Theory*, abbreviated as HTT. We will always refer to the version on his personal website.

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1 The Comma Construction of ∞ -Categories

1.1 Comma Categories

Given a functor $f : \mathfrak{C} \to \mathfrak{D}$ between ∞ -categories and an object $d \in \mathfrak{D}$, we will write $\mathfrak{C}_{/d}$ for $\mathfrak{C} \times_{\mathfrak{D}} \mathfrak{D}_{/d}$. We write \mathfrak{S} for the ∞ -category of spaces.

Given any simplicial set K, we will write the composition $K \simeq K \times \{0\} \rightarrow K \times \Delta^1$ as i_0 and we can define i_1 in a similar way.

Definition 1.1.1. Given a diagram of simplicial set:

$$K' \stackrel{p}{\longrightarrow} K \stackrel{q}{\longleftarrow} K''$$

The comma object M(p,q) is the simplicial set with n-simplexes as the following diagrams:

$$\begin{array}{cccc} \Delta^n & \stackrel{i_0}{\longrightarrow} & \Delta^n \times \Delta^1 & \stackrel{i_1}{\longleftarrow} & \Delta^n \\ & & & \downarrow & & \downarrow \\ K' & \stackrel{p}{\longrightarrow} & K & \stackrel{q}{\longleftarrow} & K'' \end{array}$$

Remark 1.1.2. We have two natural maps and a simplicial homotopy:



The comma object is some kind of so-called lax 2-limit. Many important constructions in $(\infty$ -)category theory are special cases of comma object and let me exhibit an incomplete list:

$$\begin{split} \mathbb{C}^{c/} &\simeq \mathrm{M}(\Delta^0 \xrightarrow{c} \mathbb{C} \xleftarrow{\mathrm{id}_{\mathbb{C}}} \mathbb{C}) \\ \mathbb{C}^{/c} &\simeq \mathrm{M}(\mathbb{C} \xrightarrow{\mathrm{id}_{\mathbb{C}}} \mathbb{C} \xleftarrow{c} \Delta^0) \\ \mathbb{C}^{d/} &\simeq \mathrm{M}(\Delta^0 \xrightarrow{d} \mathcal{D} \xleftarrow{f} \mathbb{C}) \\ \mathbb{C}^{/d} &\simeq \mathrm{M}(\mathbb{C} \xrightarrow{f} \mathcal{D} \xleftarrow{d} \Delta^0) \\ \end{split} \\ \\ \mathrm{map}_{\mathbb{C}}(x, y) &\simeq \mathrm{M}(\Delta^0 \xrightarrow{x} \mathbb{C} \xleftarrow{y} \Delta^0) \\ \mathbb{C}^{\Delta^1} &\simeq \mathrm{M}(\mathbb{C} \xrightarrow{\mathrm{id}_{\mathbb{C}}} \mathbb{C} \xleftarrow{\mathrm{id}_{\mathbb{C}}} \mathbb{C}) \\ \mathbb{C} \times \mathcal{D} &\simeq \mathrm{M}(\mathbb{C} \to \Delta^0 \leftarrow \mathcal{D}) \end{split}$$

They are actually isomorphic as simplicial sets.

Lemma 1.1.3. If K is ∞ -category, the functor (p',q'): $M(p,q) \to K' \times K''$ is inner fibration.

Proof. To fill in a diagram $\Lambda_i^n \to \mathcal{M}(p,q)$ $(1 \le i \le n)$:

$$\begin{array}{ccc} \Lambda_i^n \xrightarrow{i_0} \Lambda_i^n \times \Delta^1 \xleftarrow{i_1} \Lambda_i^n \\ \downarrow^{f'} & \downarrow^f & \downarrow^{f''} \\ K' \xrightarrow{p} & K \xleftarrow{q} & K'' \end{array}$$

We can fill in f' and f'' first (or use the given filling-in), then f.

Corollary 1.1.4. If K, K' and K'' are ∞ -categories, the comma object M(p,q) is ∞ -category and the functors p', q' are both inner fibrations.

The next two lemmas are immediate consequences of the definition.

Lemma 1.1.5. Given a comma object and two adjacent pullback squares:



The canonical map is an isomorphism between simplicial sets:

 $f: M' \times_{\mathrm{M}(p,q)} M'' \to \mathrm{M}(pr,qs)$

Lemma 1.1.6. Given a comma object and a pullback square:



We have a canonical pullback of simplicial sets:

$$\begin{array}{c} \mathcal{M}(p,q) \longrightarrow \mathcal{M}(sp,sq) \\ \downarrow & \downarrow \\ \mathcal{M}(tp,tq) \longrightarrow \mathcal{M}(fp,fq) \end{array}$$

Corollary 1.1.7. *Given a homotopy pullback of* ∞ *-categories:*

$$\begin{array}{ccc} \mathcal{X} & \xrightarrow{a} & \mathcal{Y} \\ b & & \uparrow_{f} & \downarrow_{c} \\ \mathcal{Z} & \xrightarrow{d} & \mathcal{W} \end{array}$$

We have a homotopy pullback of mapping spaces:

$$\begin{array}{ccc} \operatorname{map}_{\mathfrak{X}}(x,y) & \longrightarrow & \operatorname{map}_{\mathfrak{Y}}(a(x),a(y)) \\ & & \downarrow & & \downarrow \\ \operatorname{map}_{\mathfrak{Z}}(b(x),b(y)) & \longrightarrow & \operatorname{map}_{\mathcal{W}}(f(x),f(y)) \end{array}$$

Proof. Assume that our homotopy pullack of ∞ -categories is given by a pullback of simplicial sets and maps c, d are both categorical fibrations. Using the previous lemma and equivalence $\operatorname{map}_{\mathfrak{X}}(x,y) \simeq \operatorname{M}(\Delta^0 \xrightarrow{x} \mathfrak{X} \xleftarrow{y} \Delta^0)$, we have a pullback of mapping spaces (as simplicial sets) which is automatically homotopy pullback since the maps between mapping spaces are Kan fibrations (using the fact that c, d are categorical fibrations). \Box

From now on, we will only take comma objects of ∞ -categories, and it is safe since ∞ -categories are closed under taking comma objects.

There are two alternative descriptions of $M(\mathcal{C} \xrightarrow{p} \mathcal{D} \xleftarrow{q} \mathcal{E})$. One is the following pullback:

$$\begin{array}{c} \mathbf{M}(p,q) \longrightarrow \mathcal{D}^{\Delta^{1}} \\ \downarrow \qquad \qquad \downarrow \\ \mathcal{C} \times \mathcal{E} \xrightarrow{(p,q)} \mathcal{D} \times \mathcal{D} \end{array}$$

The other one is the limit of the following zig-zag:

$$\mathfrak{C} \xrightarrow{p} \mathfrak{D} \leftarrow \mathfrak{D}^{\Delta^1} \to \mathfrak{D} \xleftarrow{q} \mathfrak{E}$$

All three descriptions give isomorphic simplicial sets. However, the previous two are homotopy limits as well. It is because the last two forms of limits are equivalent in any ∞ -categories, and the functor $\mathcal{D}^{\Delta^1} \to \mathcal{D} \times \mathcal{D}$ is categorical fibration by HTT corollary 2.4.6.5. As a corollary, if the middle K is ∞ -category, the comma object is invariant under categorical equivalence.

Remark 1.1.8. We can deduce from the pullback description and corollary 1.1.7 that, the mapping space in comma category can be represented as homotopy pullback (between objects A = (x, a, y) and A' = (x', a', y')):

$$\begin{split} \operatorname{map}_{\mathcal{M}(p,q)}(A,A') & \longrightarrow \operatorname{map}_{\mathcal{C}}(x,x') \\ & \downarrow & \qquad \qquad \downarrow \\ \operatorname{map}_{\mathcal{E}}(y,y') & \longrightarrow \operatorname{map}_{\mathcal{D}}(p(x),q(y')) \end{split}$$

Lemma 1.1.9. Given a diagram of ∞ -categories:

$$\begin{array}{ccc} \mathbb{C} & \stackrel{p}{\longrightarrow} & \mathcal{D} & \xleftarrow{q} & \mathcal{E} \\ f' & & f & & f'' \\ \mathbb{C}' & \stackrel{p'}{\longrightarrow} & \mathcal{D}' & \xleftarrow{q'} & \mathcal{E}' \end{array}$$

It induces functor between comma categories:

$$F: \mathbf{M}(p,q) \to \mathbf{M}(p',q')$$

We have:

- 1. If f', f and f'' are fully-faithful, F is fully-faithful.
- 2. If f', f'' are equivalences and f is fully-faithful, F is equivalence.

Proof. Given objects A = (x, a, y) and $A = (x', a', y') \in M(p, q)$, the mapping space between them can be represented as fiber product by the previous remark:

$$\operatorname{map}_{\mathcal{M}(p,q)}(A,A') \simeq \operatorname{map}_{\mathcal{C}}(x,x') \times_{\operatorname{map}_{\mathcal{D}}(p(x),q'(y'))} \operatorname{map}_{\mathcal{E}}(y,y')$$

Using this formula is enough to show (1). The claim (2) follows from the fact that, any morphism in \mathcal{D}' between objects in the essential image of \mathcal{C}' and \mathcal{E}' is equivalent to a morphism in the essential image of \mathcal{D} .

1.2 Lax Fibers of Comma Categories

Theorem 1.2.1. Given two adjacent comma categories of ∞ -categories:



The following canonical functor admits a right adjoint:

$$f: \mathcal{M}(p', r) \to \mathcal{M}(p, qr)$$

Proof. For the most convenience, we will write M and M' for M(p', r) and M(p,qr) respectively. The object in M can be seen as tuple (x, a, y, b, z) such that $x \in K'$, $y \in K''$, $z \in L$ and $a : p(x) \to q(y)$, $b : y \to r(z)$. Similarly, the object in M' can be seen as tuple (x', a', z') such that $x' \in K'$, $z' \in L$ and $a' : p(x') \to qr(z')$. The definition of f is roughly as taking (x, a, y, b, z) to $(x, q(b) \circ a, z)$. Write g for the proposed right adjoint of f. The definition of g is roughly as taking (x', a', z') to $(x', a', r(z'), id_{r(z')}, z')$. There is a natural transformation id $\to gf$ that its value at (x, a, y, b, z) is defined by the diagram:

We are left to check it is a unit transformation in the sense of HTT definition 5.2.2.7., that is, to show the following composition is an equivalence in the homotopy category of spaces (we write C for (x, a, y, b, z) and D for (x', a', z')):

$$\operatorname{map}_{\mathcal{M}'}(f(C), D) \to \operatorname{map}_{\mathcal{M}}(gf(C), g(D)) \to \operatorname{map}_{\mathcal{M}}(C, g(D))$$

Basically, it is because both sides are equivalent to a fiber product:

$$\operatorname{map}_{K'}(x, x') \times_{\operatorname{map}_K(p(x), qr(z'))} \operatorname{map}_L(z, z')$$

Remark 1.2.2. If we combine the squares in the other direction:



We still have a comparison functor:

$$f: \mathcal{M}(r,q') \to \mathcal{M}(pr,q)$$

This time, it admits a left adjoint.

Corollary 1.2.3. Given a comma category of ∞ -categories:



If q is cofinal, q' is also cofinal.

Proof. Given any object $x \in K'$, the previous remark guarantees the natural functor $f: \mathcal{M}(p,q)_{x/} \to K''_{p(x)/}$ admits a left adjoint and therefore it is weak equivalence. We can use HTT theorem 4.1.3.1. to conclude the proof. \Box

Given a diagram of ∞ -categories:

$$\begin{array}{ccc} \mathbb{C} & \stackrel{p}{\longrightarrow} & \mathcal{D} & \xleftarrow{q} & \mathcal{E} \\ f' & & f & & f'' \\ \mathbb{C}' & \stackrel{p'}{\longrightarrow} & \mathcal{D}' & \xleftarrow{q'} & \mathcal{E}' \end{array}$$

It induces functor between comma categories:

$$F: \mathbf{M}(p,q) \to \mathbf{M}(p',q')$$

Theorem 1.2.4. Given an object $D = (x, a, y) \in M(p', q')$, we have a categorical equivalence:

$$\mathcal{M}(p,q)_{D/} \simeq \mathcal{M}(\mathcal{C}_{x/} \to \mathcal{D}_{p'(x)/} \leftarrow \mathcal{E}_{y/})$$

Proof. We have a pullback square:

$$\begin{array}{ccc} (\mathcal{D}^{\Delta^{1}})_{a/} & \longrightarrow & (\mathcal{D}_{p'(x)/})^{\Delta^{1}} \\ & \downarrow & & \downarrow \\ \mathcal{D}_{a/} & \longrightarrow & \mathcal{D}_{p'(x)/} \end{array}$$

It is because of a dual decomposition of simplicial sets:

$$\Delta^1 \times \Delta^{n+1} \simeq \Delta^0 \star (\Delta^1 \times \Delta^n) \coprod_{\Delta^0 \star \Delta^n} \Delta^1 \star \Delta^n$$

The special case of n = 1 is drawn as follows:



We have the following diagram:

Notice that the restriction r is trivial Kan fibration. The precise definition of the functor $\mathcal{E}_{y/} \to \mathcal{D}_{p'(x)/}$ appeared in this proposition should be the consecutive composition of $\mathcal{E}_{y/} \to \mathcal{D}_{q'(y)/}$, any section of r and $\mathcal{D}_{a/} \to \mathcal{D}_{p'(x)/}$. The limit of the first row is $\mathcal{M}(p,q)_{D/}$ by the very definition, and it is equivalent to the limit of the bottom border line because the middle square is pullback. And the last limit is categorical equivalent to $\mathcal{M}(\mathcal{C}_{x/} \to \mathcal{D}_{p'(x)/} \leftarrow \mathcal{E}_{y/})$ since r is trivial Kan fibration. \Box

Remark 1.2.5. We have a dual version of the previous equivalence:

$$\mathcal{M}(p,q)_{/D} \simeq \mathcal{M}(\mathcal{C}_{/x} \to \mathcal{D}_{/q'(y)} \leftarrow \mathcal{E}_{/y})$$

2 Filtered ∞ -Categories

2.1 Cofinality and Filteredness

Lemma 2.1.1. Given a filtered diagram of spaces $f : \mathcal{J} \to S$, the following conditions are equivalent:

- 1. Its colimit $\varinjlim_{\alpha \in \mathbb{A}} f(\alpha)$ is contractible;
- 2. It satisfies the following two properties:
 - (a) Given any $\alpha \in \mathcal{J}$, there exists morphism $\alpha \to \alpha'$ such that $f(\alpha')$ is non-empty;
 - (b) Given any $\alpha \in \mathcal{J}$ and map $S^n \to f(\alpha)$, there exists morphism $\alpha \to \alpha'$ such that the composition $S^n \to f(\alpha) \to f(\alpha')$ is null-homotopic.

Proof. We can consider the filtered systems of sets $\pi_0 f(-) : \mathcal{J} \to \mathbf{Set}$ and $\pi_0 \max_{\mathbb{S}}(S^n, f(-)) : \mathcal{J}_{\alpha/} \to \mathbf{Set}$. Since * and S^n are compact objects of \mathbb{S} and π_0 commutes with filtered limits, we can reduce the problem to properties of filtered colimits of sets.

 $1 \Rightarrow 2$: The claim follows from the fact that $\varinjlim_{\alpha \to \alpha' \in \mathcal{J}_{\alpha/}} \pi_0 \max_{\mathcal{S}}(S^n, f(\alpha')) \simeq *$ * and $\varinjlim_{\alpha \in \mathcal{J}} \pi_0 f(\alpha) \simeq *$.

 $2 \Rightarrow 1$: The assumption implies that $\varinjlim_{\alpha \to \alpha' \in \mathcal{J}_{\alpha/}} \pi_0 \operatorname{map}_{\mathbb{S}}(S^n, f(\alpha')) \simeq$ * and $\varinjlim_{\alpha \in \mathcal{J}} \pi_0 f(\alpha) \simeq$ *. Now use the criterion that a space $X \in \mathbb{S}$ is contractible if and only if $\pi_0 X$ is non-empty and $\pi_0 \operatorname{map}_{\mathbb{S}}(S^n, X) \simeq$ *. \Box

Lemma 2.1.2. Given an ∞ -category \mathcal{C} such that for any $y \in \mathcal{C}$, $\mathcal{C}_{y/}$ is filtered, \mathcal{C} itself is filtered if and only if for any objects $x, y \in \mathcal{J}$, the following filtered colimit is contractible:

$$\varinjlim_{y \to y' \in \mathfrak{C}_{y'}} \operatorname{map}_{\mathfrak{J}}(x, y') \simeq *$$

Proof. The previous lemma shows that the assumptions in the criterion for filteredness given by HTT proposition 5.3.1.15. (together with HTT definition 5.3.1.1.) is equivalent our assumption.

We write |K| for the geometric realization of simplicial set K.

Lemma 2.1.3. Given a functor $f : \mathcal{J} \to \mathbb{C}$ between ∞ -categories and object $x \in \mathbb{C}$, we have an equivalence:

$$\varinjlim_{\alpha \in \mathcal{J}} \operatorname{map}_{\mathcal{C}}(x, f(\alpha)) \simeq |\mathcal{J}_{x/}|$$

Proof. The geometric realization of $\mathcal{J}_{x/}$ is equivalent to the homotopy colimit of the diagram $p: \mathcal{J} \to \mathcal{S}$ which is given by applying (reverse) Grothendieck construction to the left fibration $\mathcal{J}_{x/} \to \mathcal{J}$ (cf. HTT corollary 3.3.4.6.). The left fibration $\mathcal{J}_{x/} \to \mathcal{J}$ is pullback of $\mathcal{C}_{x/} \to \mathcal{C}$ along f, so by Yoneda lemma, we have $p(-) \simeq \operatorname{map}_{\mathbb{C}}(x, f(-))$.

Theorem 2.1.4. Given a functor $f : \mathcal{J} \to \mathbb{C}$ between ∞ -categories such that \mathcal{J} is filtered, the following conditions are equivalent:

- 1. f is cofinal;
- 2. For any $x \in \mathfrak{C}$, $\mathfrak{Z}_{x/}$ is filtered;
- 3. The following properties hold:
 - (a) Given any $x \in \mathfrak{C}$, there exists morphism $x \to f(j)$;
 - (b) Given any $x \in \mathbb{C}$, $j \in \mathcal{J}$ and map $S^n \to \operatorname{map}_{\mathbb{C}}(x, f(j))$, there exists morphism $j \to j'$ such that the composition $S^n \to \operatorname{map}_{\mathbb{C}}(x, f(j)) \to \operatorname{map}_{\mathbb{C}}(x, f(j'))$ is null-homotopic.

Proof. $2 \Rightarrow 1$: That $\mathcal{J}_{x/}$ is filtered implies it is contractible (cf. HTT lemma 5.3.1.20.), and we can use the criterion HTT theorem 4.1.3.1.

 $1 \Leftrightarrow 3$: We have $\varinjlim_{\mathcal{J}} \operatorname{map}_{\mathcal{C}}(x, f(-)) \simeq |\mathcal{J}_{x/}|$ by the previous lemma, and the claim follows from lemma 2.1.1.

 $3 \Rightarrow 2$: The mapping space in $\mathcal{J}_{x/}$ between $A : x \to f(j)$ and $A' : x \to f(j')$ can be represented by homotopy pullback:

$$\begin{array}{ccc} \operatorname{map}_{\mathcal{J}_{x/}}(A,A') & \longrightarrow * \\ & & & \downarrow \\ & & & \downarrow A' \\ \operatorname{map}_{\mathcal{J}}(j,j') & \longrightarrow \operatorname{map}_{\mathcal{C}}(x,f(j')) \end{array}$$

We can combine corollary 1.1.7 and HTT proposition 2.4.4.3. (2) to prove this fact. Back to the track, our strategy is to apply lemma 2.1.2. Notice that we have restriction functor $(\mathcal{J}_{x/})_{A'/} \to \mathcal{J}_{j'/}$ that is a trivial Kan fibration. Therefore we have to show that (A'') is the composition $x \to f(j') \to f(j'')$:

$$\varinjlim_{j' \to j'' \in \mathcal{J}_{j'/}} \operatorname{map}_{\mathcal{J}_{x/}}(A, A'') \simeq *$$

Using the above pullback square and the fact that filtered colimits commute with pullbacks in S, it is enough to show $\varinjlim_{j'\to j''\in\mathcal{J}_{j'/}} \operatorname{map}_{\mathcal{J}}(j,j'') \simeq *$ and $\varinjlim_{j'\to j''\in\mathcal{J}_{j'/}} \operatorname{map}_{\mathcal{C}}(x,f(j'')) \simeq *$. We can use the previous lemma to compute these filtered colimits, provided the facts that $\mathcal{J}_{j'/} \to \mathcal{J}$ is cofinal (since $(\mathcal{J}_{j'/})_{j''/} \simeq \mathcal{J}_{j''/}$ and hence filtered) and the composition of two cofinal functors $\mathcal{J}_{j'/} \to \mathcal{J} \to \mathcal{C}$ is cofinal (cf. HTT proposition 4.1.1.3. (2)). **Remark 2.1.5.** By lemma 2.1.7 below, under the assumptions of the previous theorem, C has to be filtered.

The following theorem concerns some natural cofinality that arises from filtered ∞ -categories and cofinal functors between them.

Theorem 2.1.6. Given a diagram of filtered ∞ -categories with cofinal functors p and q:

$$\mathcal{J} \xrightarrow{p} \mathcal{J}' \xrightarrow{q} \mathcal{J}''$$

The following propositions hold:

- 1. For any $j' \in \mathcal{J}', \mathcal{J}_{j'} \to \mathcal{J}$ is cofinal;
- 2. For any $j \in \mathcal{J}, \mathcal{J}_{j/} \to \mathcal{J}_{p(j)/}$ is cofinal;
- 3. For any $j'' \in \mathcal{J}''$, $\mathcal{J}_{j''/} \to \mathcal{J}'_{j''/}$ is cofinal;
- 4. For any morphism $f : j'' \to q(j') \in \mathcal{J}''$, the induced functor $\mathcal{J}_{j'/} \to \mathcal{J}_{j''/}$ is cofinal.

Proof. (1) Given $j \in \mathcal{J}$, we have trivial Kan fibration $(\mathcal{J}_{j'/})_{j/} \to \mathcal{J}_{j/}$ and therefore $(\mathcal{J}_{j'/})_{j/}$ is contractible.

(2) This is a special case of (4).

(3) Given $A: j'' \to q(j') \in \mathcal{J}'_{j''/}$, we have trivial Kan fibration $(\mathcal{J}_{j''/})_{A/} \to \mathcal{J}_{j'/}$ and by the cofinality of p we conclude that $(\mathcal{J}_{j''/})_{A/}$ is contractible.

(4) The morphism f can be seen as object of $\mathcal{J}'_{j''/}$. By (3), the functor $\mathcal{J}_{j''/} \to \mathcal{J}'_{j''/}$ is cofinal and therefore $(\mathcal{J}_{j''/})_{f/}$ is filtered by the previous theorem. We have trivial Kan fibration $r : (\mathcal{J}_{j''/})_{f/} \to \mathcal{J}_{j'/}$ and by (1), cofinal functor $r' : (\mathcal{J}_{j''/})_{f/} \to \mathcal{J}_{j''/}$. The functor appeared in claim (4) is defined by taking any section of r and composing it with r', and the result is cofinal.

We conclude this section by two criteria for filteredness.

Lemma 2.1.7. Given a functor $f : \mathcal{J} \to \mathcal{J}'$ between ∞ -categories, if \mathcal{J} is filtered and f is cofinal, \mathcal{J}' is also filtered.

Proof. There is a characterization of filtered ∞ -categories that they are precisely those ∞ -categories by which colimits (of spaces) are indexed could commutes with finite limits (of spaces) (cf. HTT proposition 5.3.3.3.). Since cofinal functor keeps colimits invariant, if \mathcal{J} has this property, \mathcal{J}' also has this property.

Lemma 2.1.8. Given a functor $f : \mathcal{J} \to \mathcal{J}'$ between ∞ -categories, if \mathcal{J}' is filtered and f is right exact (cf. HTT definition 5.3.2.1.), \mathcal{J} is also filtered.

Proof. The pullback of identity $\mathrm{id}_{\mathcal{J}'} : \mathcal{J}' \to \mathcal{J}'$ along f is the identity $\mathrm{id}_{\mathcal{J}} : \mathcal{J} \to \mathcal{J}$. By the definition of right exact functors, since \mathcal{J}' is filtered, \mathcal{J} is also filtered.

2.2 Comma Category of Filtered Categories

Lemma 2.2.1. Given a diagram of ∞ -categories such that C is contractible and q is cofinal:

 $\mathfrak{C} \xrightarrow{p} \mathfrak{D} \xleftarrow{q} \mathfrak{E}$

Then the comma category M(p,q) is contractible.

Proof. By corollary 1.2.3, there exists cofinal functor $M(p,q) \rightarrow C$, and cofinal functors are weak equivalences by HTT proposition 4.1.1.3. (3).

Theorem 2.2.2. Given a diagram of ∞ -categories:

$$\begin{array}{ccc} \mathbb{C} & \stackrel{p}{\longrightarrow} & \mathcal{D} & \xleftarrow{q} & \mathcal{J} \\ f' & & f & & f'' \\ \mathbb{C}' & \stackrel{p'}{\longrightarrow} & \mathcal{D}' & \xleftarrow{q'} & \mathcal{J}' \end{array}$$

If it satisfies the following conditions:

- 1. \mathcal{J} and \mathcal{J}' are filtered;
- 2. q, q', f' and f'' are cofinal.

The induced functor between comma categories is cofinal:

$$F: \mathcal{M}(p,q) \to \mathcal{M}(p',q')$$

Notice that under these assumptions, \mathcal{D} and \mathcal{D}' are both filtered by lemma 2.1.7, and f is cofinal by HTT proposition 4.1.1.3. (2).

Proof. Our strategy is to use theorem 1.2.4 to represent $M(p,q)_{D/}$ (D = (x, a, y)) as comma category $M(\mathcal{C}_{x/} \to \mathcal{D}_{p'(x)/} \leftarrow \mathcal{J}_{y/})$ and then the previous lemma to show its contractibility. Since f' is cofinal, $\mathcal{C}_{x/}$ is contractible. We are left to show that $\mathcal{J}_{y/} \to \mathcal{D}_{p'(x)/}$ is cofinal. By definition, this functor factors as $\mathcal{J}_{y/} \to \mathcal{J}_{q'(y)/} \to \mathcal{D}_{q'(y)/} \to \mathcal{D}_{p'(x)/}$ and hence it is cofinal as being composition of cofinal functors by theorem 2.1.6 (2), (3) and (4).

Theorem 2.2.3. Given a comma category of ∞ -categories such that $\mathcal{J}', \mathcal{J}''$ are filtered and q is cofinal:



The following propositions hold:

- 1. M(p,q) is filtered;
- 2. The functors q', p' and (q', p') from M(p, q) to \mathcal{J}' , \mathcal{J}'' and $\mathcal{J}' \times \mathcal{J}''$ are cofinal.

Proof. (1) By lemma 2.1.8, it is enough to show that q' is right exact, namely to prove that for any $j' \in \mathcal{J}'$, $M(p,q)_{/j'}$ is filtered. Using the following diagram:

Remark 1.2.5 shows that $M(p,q)_{j'} \simeq M(\mathcal{J}'_{j'} \xrightarrow{\bar{p}} \mathcal{J} \xleftarrow{q} \mathcal{J}'')$ (\bar{p} is the composition $\mathcal{J}'_{/j'} \to \mathcal{J}' \xrightarrow{p} \mathcal{J}$). Then we use the following diagram:

$$\begin{array}{cccc} \Delta^0 & \xrightarrow{p(j')} \mathcal{J} & \xleftarrow{q} \mathcal{J}'' \\ \operatorname{id}_{j'} & \operatorname{id}_{\mathcal{J}} & \operatorname{id}_{\mathcal{J}''} \\ \mathcal{J}'_{j'} & \xrightarrow{\overline{p}} \mathcal{J} & \xleftarrow{q} \mathcal{J}'' \end{array}$$

Notice that $\mathrm{id}_{j'}$ is terminal object of $\mathcal{J}'_{j'}$, so the left vertical map is cofinal. We can apply the previous theorem and it follows that the induced functor $\mathcal{J}_{p(j')/}^{\prime\prime} \to \mathrm{M}(\bar{p},q) \simeq \mathrm{M}(p,q)_{j'}$ is cofinal. Theorem 2.1.4 (2) implies that $\mathcal{J}_{p(j')/}^{\prime\prime}$ is filtered. Then lemma 2.1.7 shows $\mathcal{M}(p,q)_{/j'}$ is filtered. (2) The following are equivalences:

$$\begin{split} \mathcal{J}' &\simeq M(\mathcal{J}' \to \Delta^0 \leftarrow \Delta^0) \\ \mathcal{J}'' &\simeq M(\Delta^0 \to \Delta^0 \leftarrow \mathcal{J}'') \\ \mathcal{J}' \times \mathcal{J}'' &\simeq M(\mathcal{J}' \to \Delta^0 \leftarrow \mathcal{J}'') \end{split}$$

We can use remark 1.2.5 and these equivalences to represent $M(p,q)_{x/}$ (for $x \in \mathcal{J}', \mathcal{J}'' \text{ or } \mathcal{J}' \times \mathcal{J}'')$ and use lemma 2.2.1 to show its contractibility.

2.3 Lax Limit of Filtered ∞ -Categories

This section begins with an introduction to a special kind of diagrams.

Lemma 2.3.1. For simplicial set K, the following properties are equivalent:

- 1. It is categorically equivalent to a minimal ∞-category, which has only finitely many non-degenerate simplexes.
- 2. It is categorically equivalent to a finite minimal 1-category that the length of composable non-identity morphisms has finite upper bound.

Proof. $(2) \Rightarrow (1)$ Using the description of the non-degenerate simplexes in nerve of 1-category.

 $(1) \Rightarrow (2)$ Assume that $K \simeq \mathcal{C}$ and \mathcal{C} is a minimal ∞ -category, we only need to show that \mathcal{C} is actually an 1-category, and then we can use the description of the non-degenerate simplexes in nerve of 1-category again to conclude the proof.

Notice that, if \mathcal{C} satisfies (1) then for any objects $x, y \in \mathcal{C}$, $\operatorname{map}_{\mathcal{C}}(x, y)$ also satisfies (1). To prove this claim, we use the model $\operatorname{map}_{\mathcal{C}}^{\mathbf{R}}(x, y)$ (cf. discussion before HTT proposition 1.2.2.3.). A simplex $\Delta^n \to \operatorname{map}_{\mathcal{C}}^{\mathbf{R}}(x, y)$ is a simplex $\Delta^{n+1} \to \mathcal{C}$ satisfying some properties and we can see that if the latter is degenerate, the former is also degenerate (except when n = 0 and x = y, there is another possibility that the 0-simplex represents id_x). This is enough to show our claim.

If \mathcal{C} is a Kan complex, it has to be a finite set. To show this, given any object $x \in \mathcal{C}$, if $\pi_1(X, x)$ is nontrivial, we can take some $\gamma : \Delta^1 \to \mathcal{C}$ to represent a non-trivial loop. We have a categorical equivalence:

$$\operatorname{Spine}_{\mathbf{n}} \simeq \Delta^{\{0,1\}} \coprod_{\Delta^{\{1\}}} \Delta^{\{1,2\}} \coprod_{\Delta^{\{2\}}} \cdots \coprod_{\Delta^{\{n-1\}}} \Delta^{\{n-1,n\}} \to \Delta^{n}$$

Take the map $\operatorname{Spine}_n \to \mathbb{C}$ which maps each $\Delta^{\{i,i+1\}}$ to γ , and then exdend it to Δ^n . The resulting *n*-simplex cannot be degenerate and hence it contradicts our assumption. We apply this observation to $\Omega^n \mathcal{C}$ and we find out that all higher homotopy groups of \mathcal{C} are trivial. Finally, for the original \mathcal{C} , the previous discussion applied to $\operatorname{map}_{\mathbb{C}}(x, y)$ show it is a finite set. \Box

Definition 2.3.2. A simplicial set K is called very small if it satisfies the above two (equivalent) properties.

Let $p: \mathfrak{X} \to K$ be a cocartesian fibration of ∞ -categories, we will write Sect_p for the ∞ -category $\operatorname{Map}_{/K}(id, p)$ of sections of p. Our main result in the section is the following:

Theorem 2.3.3. Let $p: \mathfrak{X} \to K$ be a cocartesian fibration such that K is very small and all fibers of p are filtered. Then for any object $i \in K$, the evaluation functor $\operatorname{Sect}_p \to p^{-1}(i)$ is cofinal. In particular, Sect_p is filtered and hence non-empty. Before the proof, we should establish some lemmas. Given a small simplicial set K, a cocartesian fibration $p: \mathcal{X} \to K^{\triangleright}$ over its right cone, let us call the cone point X and the base change of p to K will be named p^0 . We have the following square and natural transformation $\eta: ti^* \to dj^*$:



Lemma 2.3.4. The previous square induces equivalence:

$$\operatorname{Sect}_p \simeq \operatorname{M}(\operatorname{Sect}_{p^0} \to \operatorname{Fun}(K, p^{-1}(X)) \leftarrow p^{-1}(X))$$

The functor i^* is the restriction of sections to K, j^* the restriction to cone point X and d the diagonal functor which sends an object to the constant diagram. The functor t is defined by cocartesion lifting by solving the following extension problem (with the requirement that for each $i \in K$, the image of $i \times \Delta^1$ in \mathcal{X} should be *p*-cocartesian) and then restricting the diagonal map to $K \times \{1\}$.

$$\begin{array}{c} K \times \{0\} \xrightarrow{s} \mathfrak{X} \\ & \swarrow \end{array} \xrightarrow{\forall} p \\ K \times \Delta^1 \xrightarrow{q} K^{\triangleright} \end{array}$$

The natural transformation is defined similarly by the following extension problem:

$$\begin{array}{ccc} K \times \Lambda_0^2 & \stackrel{s}{\longrightarrow} \mathfrak{X} \\ & & & \downarrow \\ & & & \downarrow \\ K \times \Delta^2 & \stackrel{q}{\longrightarrow} & K^{\triangleright} \end{array}$$

Theorem 2.3.5. Let $p : \mathfrak{X} \to K$ be a cocartesian fibration such that K is very small and all fibers of p are filtered. Then we have:

- 1. The ∞ -category Sect_p is filtered and hence non-empty;
- 2. For any object $i \in K$, the evaluation functor $\operatorname{Sect}_p \to p^{-1}(i)$ is cofinal.

Proof. We can assume that K is equivalent to the nerve N(\mathcal{C}) of some minimal 1-category. By definition we can find a maximal object of \mathcal{C} , namely an object X such that admits no morphism towards other object. Let \mathcal{C}^0 be the full subcategory consists of objects other than X, and $\mathcal{C}^0_{/X}$ the over-category $\mathcal{C}^0 \times_{\mathcal{C}} \mathcal{C}_{/X}$. We have a natural simplicial homotopy:



Let us denote the pullback of p along i and qi as p^0 and p_X^0 . We have a natural equivalence induced by this simplicial homotopy:

$$\operatorname{Sect}_p \simeq \operatorname{M}(\operatorname{Sect}_{p^0} \to \operatorname{Sect}_{p^0_X} \to \operatorname{Fun}(\operatorname{\mathcal{C}}^0_{/X}, p^{-1}(X)) \leftarrow p^{-1}(X))$$

Let us denote the right-hand-side as M. The reason for our claim is, we have pushout of simplicial set:



This is also a homotopy pushout in Joyal model structure. Therefore we have equivalence:

$$\operatorname{Fun}(\mathcal{C},\mathcal{D})\simeq\operatorname{Fun}(\mathcal{C}^0,\mathcal{D})\times_{\operatorname{Fun}(\mathcal{C}^0_{/X},\mathcal{D})}\operatorname{Fun}((\mathcal{C}^0_{/X})^{\triangleright},\mathcal{D})$$

The comma object in question can also be represented as:

$$M \simeq \operatorname{Fun}(\mathcal{C}^0, \mathcal{D}) \times_{\operatorname{Fun}(\mathcal{C}^0_{/X}, \mathcal{D})} \operatorname{Fun}(\mathcal{C}^0_{/X} \diamond \Delta^0, \mathcal{D})$$

By HTT proposition 4.2.1.2., we justify our claim.

Now we can do induction on the cardinality of the isomorphic-classes of objects in C. We have the following diagram:

$$\begin{array}{ccc} \operatorname{ind}\operatorname{-}\operatorname{Fun}(\operatorname{\mathcal{C}}^0,\operatorname{\mathcal{D}}) & \longrightarrow \operatorname{ind}\operatorname{-}\operatorname{Fun}(\operatorname{\mathcal{C}}^0_{/X},\operatorname{\mathcal{D}}) & \longleftarrow \operatorname{ind}\operatorname{-}\operatorname{\mathcal{D}} \\ & & \downarrow & & \downarrow \\ & & f \downarrow & & \downarrow \\ & & & \operatorname{Fun}(\operatorname{\mathcal{C}}^0,\operatorname{ind}\operatorname{-}\operatorname{\mathcal{D}}) & \longrightarrow & \operatorname{Fun}(\operatorname{\mathcal{C}}^0_{/X},\operatorname{ind}\operatorname{-}\operatorname{\mathcal{D}}) & \longleftarrow & \operatorname{ind}\operatorname{-}\operatorname{\mathcal{D}} \end{array}$$

By our previous discussion, the inductive assumption, lemma 1.1.9 and theorem 3.0.3, we only need to show the middle vertical functor f is fullyfaithful. Using HTT proposition 5.3.5.11., it is enough to show the essential image of the following inclusion consists of compact objects:

$$\operatorname{Fun}(\mathcal{C}^0_{/X},\mathcal{D}) \to \operatorname{Fun}(\mathcal{C}^0_{/X},\operatorname{ind}-\mathcal{D})$$

The point is, $N(\mathcal{C}^0_{/X})$ is a finite simplicial set, and hence the mapping space between two functors in $Fun(\mathcal{C}^0_{/X}, \operatorname{ind} - \mathcal{D})$ is a canonical finite limit of mapping spaces between the values of each functor. Using the fact that filtered colimits commute with finite limits, we conclude our proof.

3 Applications to Ind-Objects

In the last section of this article, we apply the results established in previous sections to ind-objects.

Lemma 3.0.1. Given a filtered diagram $p : \mathcal{J} \to \mathcal{C}$ which represents $X \in$ ind- \mathcal{C} , the canonical functor $\tilde{p} : \mathcal{J} \to \mathcal{C}_{/X}$ is cofinal.

Proof. We will use lemma 2.1.3 to show cofinality. That means we have to prove that given any $A: Y \to X \in \mathcal{C}_{/X}$, we have:

$$\varinjlim_{\alpha \in \mathfrak{A}} \operatorname{map}_{\mathcal{C}_{/X}}(A, p(\alpha) \to X) \simeq *$$

The mapping space in $\mathcal{C}_{/X}$ between $A: Y \to X$ and $A': Y' \to X$ can be represented as homotopy pullback:



Since Y is compact in ind - \mathcal{C} , we have $\varinjlim_{\alpha \in \mathcal{J}} \operatorname{map}_{\mathcal{C}}(Y, p(\alpha)) \simeq \operatorname{map}_{\mathcal{C}}(Y, X)$, and the bottom map becomes equivalence after taking colimit, so its fiber is contractible.

Theorem 3.0.2. Given a morphism $f : X \to X' \in \text{ind} - \mathbb{C}$ and two filtered diagrams $p : \mathcal{J} \to \mathbb{C}$ and $q : \mathcal{J}' \to \mathbb{C}$ that represents X and X' respectively, there exists filtered ∞ -category \mathcal{J}'' , cofinal maps p' and q' and natural transformation $pp' \to qq'$ that represents f:



Proof. We have a diagram such that \tilde{q} is cofinal by the previous lemma:

$$\mathcal{J} \stackrel{\tilde{p}}{\longrightarrow} \mathcal{C}_{/X} \stackrel{f_!}{\longrightarrow} \mathcal{C}_{/X'} \xleftarrow{\tilde{q}} \mathcal{J}'$$

Then $M(f_!\tilde{p},\tilde{q})$ is what we want by theorem 2.2.3.

Theorem 3.0.3. Given a diagram of ∞ -categories $K' \xrightarrow{p} K \xleftarrow{q} K''$, the following canonical functor is an equivalence:

$$\operatorname{ind} \operatorname{-} \operatorname{M}(K' \to K \leftarrow K'') \longrightarrow \operatorname{M}(\operatorname{ind} \operatorname{-} (K' \to K \leftarrow K''))$$

Proof. Without loss of generality, we assume K', K and K'' are ∞ -categories. Let us focus on the restriction first:

$$M(K' \to K \leftarrow K'') \longrightarrow M(ind - (K' \to K \leftarrow K''))$$

This functor is fully-faithful by definition, and we will prove that its image consists of compact objects. Given $(x, a, y) \in M(K' \to K \leftarrow K'')$ and $(x', a', y') \in M(\text{ind} - (K' \to K \leftarrow K''))$, the mapping space between them can be represented as fiber product (cf. remark 1.1.8):

$$\operatorname{map}_{\operatorname{ind} - K'}(x, x') \times_{\operatorname{map}_{\operatorname{ind} - K}(p(x), q(y'))} \operatorname{map}_{\operatorname{ind} - K''}(y, y')$$

Since $\operatorname{ind} - K' \to \operatorname{ind} - K$ and $\operatorname{ind} - K'' \to \operatorname{ind} - K$ preserve filtered colimits, the functor $\operatorname{map}_{\operatorname{ind} - K'}(X, -) \times_{\operatorname{map}_{\operatorname{ind} - K}(p(X), q(-))} \operatorname{map}_{\operatorname{ind} - K''}(Y, -)$ preserves filtered colimits. We finished our proof of compactness.

By HTT proposition 5.3.5.11., we are left to prove the canonical functor in our proposition is essentially surjective. Given $(x', a, y') \in M(\operatorname{ind} - (K' \to K \leftarrow K''))$ and represented x' and y' by $f : \mathcal{J} \to K'$ and $g : \mathcal{J}' \to K''$ respectively. We can apply theorem 3.0.2 to the map $a : p(x') \to q(y')$, and hence we can assume $\mathcal{J} \simeq \mathcal{J}'$ and the map is given by a natural transformation $pf \to qg$. Notice that the latter form can be seen as a diagram $\mathcal{J} \to M(K' \to K \leftarrow K'')$, and essential surjectivity follows.

We have the following generalization of HTT proposition 5.3.5.15.

Lemma 3.0.4. For simplicial set K, the following properties are equivalent:

- 1. It is categorically equivalent to a minimal ∞-category, which has only finitely many non-degenerate simplexes.
- 2. It is categorically equivalent to a finite minimal 1-category that the length of composable non-identity morphisms has finite upper bound.

Proof. $(2) \Rightarrow (1)$ Using the description of the non-degenerate simplexes in nerve of 1-category.

 $(1) \Rightarrow (2)$ Assume that $K \simeq \mathcal{C}$ and \mathcal{C} is a minimal ∞ -category, we only need to show that \mathcal{C} is actually an 1-category, and then we can use the description of the non-degenerate simplexes in nerve of 1-category again to conclude the proof.

Notice that, if \mathcal{C} satisfies (1) then for any objects $x, y \in \mathcal{C}$, $\operatorname{map}_{\mathcal{C}}(x, y)$ also satisfies (1). To prove this claim, we use the model $\operatorname{map}_{\mathcal{C}}^{\mathbf{R}}(x, y)$ (cf. discussion before HTT proposition 1.2.2.3.). A simplex $\Delta^n \to \operatorname{map}_{\mathcal{C}}^{\mathbf{R}}(x, y)$ is a simplex $\Delta^{n+1} \to \mathcal{C}$ satisfying some properties and we can see that if the latter is degenerate, the former is also degenerate (except when n = 0 and x = y, there is another possibility that the 0-simplex represents id_x). This is enough to show our claim.

If \mathcal{C} is a Kan complex, it has to be a finite set. To show this, given any object $x \in \mathcal{C}$, if $\pi_1(X, x)$ is nontrivial, we can take some $\gamma : \Delta^1 \to \mathcal{C}$ to represent a non-trivial loop. We have a categorical equivalence:

$$\operatorname{Spine}_{\mathbf{n}} \simeq \Delta^{\{0,1\}} \coprod_{\Delta^{\{1\}}} \Delta^{\{1,2\}} \coprod_{\Delta^{\{2\}}} \cdots \coprod_{\Delta^{\{n-1\}}} \Delta^{\{n-1,n\}} \to \Delta^{n}$$

Take the map $\operatorname{Spine}_n \to \mathbb{C}$ which maps each $\Delta^{\{i,i+1\}}$ to γ , and then exdend it to Δ^n . The resulting *n*-simplex cannot be degenerate and hence it contradicts our assumption. We apply this observation to $\Omega^n \mathcal{C}$ and we find out that all higher homotopy groups of \mathcal{C} are trivial. Finally, for the original \mathcal{C} , the previous discussion applied to $\operatorname{map}_{\mathbb{C}}(x, y)$ show it is a finite set. \Box

Definition 3.0.5. A simplicial set K is called very small if it satisfies the above two (equivalent) properties.

Theorem 3.0.6. Given an ∞ -category \mathcal{D} and very small simplicial set K, the comparison functor is equivalence:

ind
$$-(\mathcal{D}^K) \to (\operatorname{ind} - \mathcal{D})^K$$

Proof. We can assume that K is the nerve N(\mathcal{C}) of some minimal 1-category. By definition we can find a maximal object of \mathcal{C} , namely an object X such that admits no morphism towards other object. Let \mathcal{C}^0 be the full subcategory consists of objects other than X, and $\mathcal{C}^0_{/X}$ the over-category $\mathcal{C}^0 \times_{\mathcal{C}} \mathcal{C}_{/X}$. We have a natural simplicial homotopy:



We have a natural equivalence induced by this simplicial homotopy:

 $\operatorname{Fun}(\mathfrak{C}, \mathcal{D}) \simeq \operatorname{M}(\operatorname{Fun}(\mathfrak{C}^0, \mathcal{D}) \to \operatorname{Fun}(\mathfrak{C}^0_{/X}, \mathcal{D}) \leftarrow \operatorname{Fun}(\Delta^0, \mathcal{D}))$

Let us denote the right-hand-side as M. The reason for our claim is, we have pushout of simplicial set:

This is also a homotopy pushout in Joyal model structure. Therefore we have equivalence:

$$\operatorname{Fun}(\mathcal{C},\mathcal{D})\simeq\operatorname{Fun}(\mathcal{C}^0,\mathcal{D})\times_{\operatorname{Fun}(\mathcal{C}^0_{/X},\mathcal{D})}\operatorname{Fun}(\mathcal{C}^{0,\triangleright}_{/X},\mathcal{D})$$

The comma object in question can also be represented as:

$$M \simeq \operatorname{Fun}(\mathcal{C}^0, \mathcal{D}) \times_{\operatorname{Fun}(\mathcal{C}^0_{/X}, \mathcal{D})} \operatorname{Fun}(\mathcal{C}^0_{/X} \diamond \Delta^0, \mathcal{D})$$

By HTT proposition 4.2.1.2., we justify our claim.

Now we can do induction on the cardinality of the isomorphic-classes of objects in C. We have the following diagram:

By our previous discussion, the inductive assumption, lemma 1.1.9 and theorem 3.0.3, we only need to show the middle vertical functor f is fullyfaithful. Using HTT proposition 5.3.5.11., it is enough to show the essential image of the following inclusion consists of compact objects:

$$\operatorname{Fun}(\mathcal{C}^0_{/X}, \mathcal{D}) \to \operatorname{Fun}(\mathcal{C}^0_{/X}, \operatorname{ind} - \mathcal{D})$$

The point is, $N(\mathcal{C}^0_{/X})$ is a finite simplicial set, and hence the mapping space between two functors in $Fun(\mathcal{C}^0_{/X}, \operatorname{ind} - \mathcal{D})$ is a canonical finite limit of mapping spaces between the values of each functor. Using the fact that filtered colimits commute with finite limits, we conclude our proof.